

# **GOVERNANCE COMMITTEE**

**20 NOVEMBER 2018**

## **REPORT OF HEAD OF INTERNAL AUDIT**

### **INTERNAL AUDIT UPDATE**

#### **1.0 PURPOSE OF REPORT**

1.1 To update Members on progress made in delivering the 2018/19 Annual Audit Plan and key findings arising from audit assignments completed.

#### **2.0 RECOMMENDATIONS**

2.1 **That Members note the report and progress made by the Internal Audit team in delivery of the Audit Plan.**

#### **3.0 UPDATE ON DELIVERY OF THE INTERNAL AUDIT PLAN**

3.1 The progress achieved to date in delivering the 2018/19 Audit Plan is set out in Appendix A. Since the last Governance committee meeting, three reports have been finalised. At the time of reporting, 65% of planned assignments are either complete or in progress.

3.2 The key findings of the audit assignments from 2018/19 completed to date are provided within Appendix A.

#### **4.0 IMPLEMENTATION OF RECOMMENDATIONS**

4.1 The Council's Management Team review progress made on implementing agreed management actions on a regular basis. At the date of reporting, there are 17 agreed management actions which are overdue for implementation. Further details are provided in Appendix A.

#### **5.0 POLICY AND CORPORATE IMPLICATIONS**

5.1 The report allows the Committee to develop independent assurance about the quality of the Council's internal control framework.

#### **6.0 FINANCIAL AND OTHER RESOURCE IMPLICATIONS**

6.1 There are no financial or other resource implications arising directly from this report.

#### **7.0 LEGAL IMPLICATIONS/POWERS**

7.1 There are no legal implications arising directly from this report

#### **8.0 COMMUNITY SAFETY**

8.1 There are no community safety implications arising directly from this report.

#### **9.0 EQUALITIES**

9.1 There are no equalities implications arising directly from this report.

10.0 **RISKS**

10.1 If Internal Audit does not deliver the approved Audit Plan the assurance that it can provide about the Council's control framework would be compromised.

11.0 **CLIMATE CHANGE**

11.1 There are no climate change implications arising directly from this report.

12.0 **CONSULTATION**

12.1 N/A

13.0 **WARDS AFFECTED**

13.1 All wards are indirectly affected by the report.

Contact Officer Rachel Ashley-Caunt

Date: 05/11/2018

Appendices : A – Internal Audit Update Report

Background Papers: N/A

Reference : N/A